Attorney's Docket No.:

12-13-01

PATENT APPLICATION 09/771,207

1226-0 1226-0

DEC 1 0 2001

17 PAZ 1. Q731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory H. Slocum

09/771,207

Serial No.: Filing Date:

January 26, 2001

Technology Center 2100

RECEIVED

DEC 1 8 2001

Group Art Unit:

2163

Title:

SYSTEM AND METHOD FOR DEMAND PLANNING

USING SEQUENTIAL FORECASTING

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement (2 pages), PTO Form 1449 (1 page), copies of references cited, Baker Botts return post card (1 post card) and this Certificate of Mailing by Express Mail, and acknowledgement postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 10th day of December, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Tiles
Willie Jiles

Express Mail Receipt No. EL 759181355 US

Attorney's Docket No.: 020431.0731

Attorney's Docket: 020431.0731

OIPE TO 2001 W

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

Gregory H. Slocum

DEC 1 8 2001

Serial No.:

09/771,207

Filing Date:

January 26, 2001

Technology Center 2100

Group Art Unit:

2163

Title:

SYSTEM AND METHOD FOR DEMAND PLANNING

USING SEQUENTIAL FORECASTING

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of the references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first Office Action in this case. Pursuant to 37 C.F.R. § 1.97(b), Applicant believes that no fee is due. The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Attorney's Docket: 020431.0731

2

Respectfully submitted,

BAKER BOTTS L.L.P.

Conken

Christopher W. Kennerly Reg. No. 40,675

Attorneys for Applicant

Please send correspondence to: Christopher W. Kennerly, Esq. Baker Botts L.L.P. 2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 (214) 953-6812

Date: 12/7/01

				The state of the s				Page 1 of 1	
Information Disclosu			Application No. 09/771,207		Applicant(s) Gregory H. Slocum				
				Docket No. 020431.0731	Group Art Unit 2163		Filing Date January 26, 2001		
		=	τ	J.S. PATENT DOCUM	ŒNTS				
	PETE	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING	G DATE	
	A	5,953,707	9/14/99	Huang et al.	705	10	2/2	1/97	
	В	6,151,582	11/21/00	Huang et al.	705	10	2/2	4/97	
	C								
	D								
	Е		-			BECEIVED			
	F					RECEIVE			
	G					DEC 1 8 200			
	Н				Technology Center 2100				
	I							-	
	1								
	K								
	L								
	М			·					
			FOI	REIGN PATENT DOC	CUMENTS				
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
	N						YES	NO	
_	0								
	P								
	Q							-	
	R								
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE		
	s								

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DATE CONSIDERED

U

EXAMINER